

,QVWLWXWLRQDO &KDUDFWHULVWLFV +HDGHU 2YHUYLHZ

:HOFRPH WR WKH ,QVWLWXWLRQDO &KDUDFWHULVWLFV +HDGHU VXUYH\ FRPSRQHQQW
FROOHFW GDWD WKDW DUH NH\ WR UHSRUWLQJ WKURXJKRXW WKH ,3('6 GDWD FROOHFW
DQ\ RWKHU VXUYH\ FDQ EH VWDUWHG

6RPH ,& +HDGHU TXHVWLRQV PD\ UHTXLUH QRWKLQJ PRUH WKDQ D FRQILUPDWLRQ LI
FKDQJHV DV QHFHVVDU\ DQG FRPSOHWH LWHPV WKDW GR QHHG D UHVSQRVH HQURO

5HPHPEHU LW LV WKH UHVSQRVLELOLW\ RI WKH NH\KROGHU WR SURYLGH 1&(6 ZLWK D
QHYHU KHVLWDWH WR FDOO WKH ,3('6 +HOS 'HVN DW DQG DVN IRU KHOS WR
FRUUHFWO\

&KDQJHV WR 7KLV <HDU V ,& +HDGHU &RPSRQHQQW
7KH PXOWL LQVWLWXWLRQ RU PXOWL FDPSXV RUJDQL]DWLRQ PXVW QRZ EH VHOH
%HFDXVH RI WKH LPSRUWDQFH RI WKH ,& +HDGHU GDWD LQ GHWHUPLQLQJ WKH VFUHH
WR UHSRUW FRUUHFWO\ DQG WR FRQWDFW WKH ,3('6 +HOS 'HVN LI \RX KDYH \$1< TXH
7KH ,& +HDGHU GDWD DIIHFW RWKHU VXUYH\ FRPSRQHQQWV LQ WKH IROORZLQJ ZD\V

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW % 2UJDQLJDWLRQ &RQWURO DQG /HYHOV

:KDW LV \RXU LQVWLWXWLRQDO FRQWURO RU DILOLDWLRQ"

%H VXUH WR VHOHFV WKH FRUUHFV FRQWURO IRU \RXU LQVWLWXWLRQ (UURUV RQ WK
,3('6 VXUYH\V LQ IHGHUDO UHSRUWLQJ LQ QHW SULFH JURXSLQJV DQG RQ \RXU LQVV
\RX UHSRUWHG LQFRUUHFV\ LQ D SUHYLRXV \HDU SOHDVH FRQWDFW WKH +HOS 'HVN

⊗ 3XEOLF 6HOHFV
SULPDU\ DQG RU
VHFRQGDU\
FRQWUROV EHORZ
3ULPDU\ FRQWUROV\ FRQWURO LI DSSOLFDEOH

○ 3ULYDWH IRU SURILW

○ 3ULYDWH QRW IRU SURILW LQGHSHQQGHQW QR UHOLJLRXV DILOLDWLRQ

○ 3ULYDWH QRW IRU SURILW UHOLJLRXV DILOLDWLRQ 6HOHFV DILOLDW

:KDW DZDUG OHYHOV DUH RIIHUG E\ \RXU LQVWLWXWLRQ" >&KHFN DOO WKDW DSSO

:KHQ UHSRUWLQJ DZDUG OHYHOV IRU VXE EDDFDODXUHDWH FHUWLILFDWHV OHYHOV
QXPEHU RI FUHGLW RU FRQWDFW KRXUV 127 WKH DFDGHPLF \HDU OHQJWK LQ SDUHGW
PHDQW RQO\ WR SURYLGH FRQWH[W

7KH 2WKHU DZDUG OHYHO VKRXOG QRW EH XVHG XQOHVV \RXU SURJUDP WUXO\ GRHV
H[SHFV YHU\ IHZ LQVWLWXWLRQV WR ILW WKH 2WKHU FDWHJRU\
\$ZDUG /HYHO

%(/2: 7+(%\$&&\$/ \$85(\$7(

3RVVVFHRQGDU\ DZDUG FHUWLILFDWH RU GLSORPD RI OHVV WKDQ RQ
OHVV WKDQ FRQWDFW RU FORFN KRXUV RU
OHVV WKDQ VHPHVWHU RU WULPHVWHU FUHGLW KRXUV RU
OHVV WKDQ TXDUWHU FUHGLW KRXUV

3RVVVFHRQGDU\ DZDUG FHUWLILFDWH RU GLSORPD RI DW OHDVW RQ
\HDUV
DW OHDVW EXW OHVV WKDQ FRQWDFW RU FORFN KRXUV RU
DW OHDVW EXW OHVV WKDQ VHPHVWHU RU WULPHVWHU FUHG
DW OHDVW EXW OHVV WKDQ TXDUWHU FUHGLW KRXUV

\$VVRFLDWH V GHJUHH

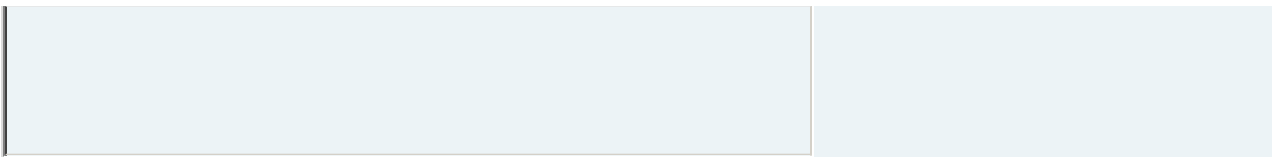
3RVVVFHRQGDU\ DZDUG FHUWLILFDWH RU GLSORPD RI DW OHDVW WZ
\HDUV
RU PRUH FRQWDFW RU FORFN KRXUV RU
RU PRUH VHPHVWHU RU WULPHVWHU FUHGLW KRXUV RU
RU PRUH TXDUWHU FUHGLW KRXUV

%%&&\$/ \$85(\$7(\$1' \$%29(

%DFKHORU V GHJUHH RU HTXLYDOHQW

3RVWEDFFDODXUHDWH FHUWLILFDWH

ODVWHU V GHJUHH



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW % 2UJDQLJDWLRQ &DOHQGDU 6\ VWHP
<RXU UHVSQRVH WR WKH QH[W TXHVWLRQ GHWHUPLQHV KRZ \RXU LQVWLWXWLRQ UHSR
VWXGHQW FKDUJHV GDWD LQ WKH IDOO 6WXGHQW)LQDQFLDO \$LG GDWD LQ WKH VSUL
VSULQJ ,W DOVR LPSDFWV WKH QHW SULFH FDOFXODWLRQ LQ WKH 6WXGHQW)LQDQFL
,I WKH FDOHQGDU V\ VWHP GLIIHUV IURP SULRU \HDU RU UHTXLUHV D FKDQJH SOHDVH
? :KDW LV WKH SUHDRPLQDQW FDOHQGDU V\ VWHP DW WKH LQVWLWXWLRQ" >&KRRVH

\$FDGHPLF <HDU 5HSRUWLQJ 0HWKRG 6WDQGDUG DFDGHPLF WHUPV
6HOHFWLQJ RQH RI WKH IROORZLQJ FDOHQGDU W\SHV GHWHUPLQHV WKDW \RXU LQV
*UDGXDWLRQ 5DWHV GDWD EDVHG RQ D)\$// &2+257 DQG VWXGHQW FKDUJHV GDWD IR

6HPHVWHU

4XDUWHU

7ULPHVWHU

_____ RU VLPLODU SODQ

3URJUDP 5HSRUWLQJ 0HWKRG 2WKHU FDOHQGDU V\ VWHP
6HOHFWLQJ RQH RI WKH IROORZLQJ FDOHQGDU W\SHV GHWHUPLQHV WKDW \RXU LQV
EDVHG RQ D)8// <(\$5 &2+257 6WXGHQW)LQDQFLDO \$LG GDWD RQ WKH PRQW FRK
VWXGHQW FKDUJHV GDWD E\ 352*5\$0

'LIIHUV E\ SURJUDP

&RQLQXR XV EDVLV HYHU\ ZHHNV PRQWKO\ RU RWKHU SHULRG

+ \EULG 0LJHG 5HSRUWLQJ 0HWKRG 6WDQGDUG DFDGHPLF WHUPV RWKHU DFDGHPLF
6HOHFWLQJ WKH K\EULG FDOHQGDU W\SH GHWHUPLQHV WKDW \RXU LQVWLWXWLRQ Z
)8// <(\$5 &2+257 6WXGHQW)LQDQFLDO \$LG GDWD RQ WKH PRQW FRKRUV IURP)D
GDWD IRU D IXOO \$\$\$(0,& <(\$5

+ \EULG 2WKHU DFDGHPLF FDOHQGDU

HUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV

LRQ 6WXGHQW (QUROOPHQW
WLRQ HQUROO DQ\ RI WKH IROORZLQJ W\SHV RI VWXGHQWV"

RIIHUHG E\ \RXU LQVWLWXWLRQ **HYHQ LI WKHUH DUH QR VWXGH**
XHVWLRQ GHWHUPLQH ZKLFK VFUHHQV ZLOO EH JHQHUDWHG IRU
QUROOPHQW GXULQJ WKH VSULQJ FROOHFWLRQ \$GGLWLRQDOO
VHHNLQJ XQGHUJUDGDWH VWXGHQWV GHWHUPLQH WKDW \RX
W DQG 6WXGHQW)LQDQFLDO \$LG GDWD IRU WKHVH VWXGHQWV

)XOO WLPH 3DUW WLPH

8QGHS GHPLF RU RFFXS DWLRQ DO SURJUDPV1R <HV

)LUD UHH FHUWLILFDWH VHHNLQJ <XQGHUJUDGDWH V

*UDGXDWXGLQJ GRFWRU V SURIHVVLRQDO SUDFWLFH <HV

'RHV \RXU WLRQ HQUROO VWXGHQWV LQ GRFWRU V SURIHVVLRQDO SUDFWL
TXHVWLRQ ZLOO Z \RXU LQVWLWXWLRQ WR UHSRUW JUDGDWH CHYO VWXGHQW
HQUROOLQJ VWX V LQ RQH RI WKH OLVWHG SURJUDPV \RX ZLOO DOVR UHSRUW W

1R

<HV

? 'R \RX HQUROO VWXGHQWV LQ RQH RI WKH IROORZLQJ"

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

3DUW % 0XOWL LQVWLWXWLRQ RU 0XOWL FDPSXV 2UJDQLJDWLRQ
0XOWL LQVWLWXWLRQ RU PXOWL FDPSXV RUJDQLJDWLRQ

,V WKH LQVWLWXWLRQ SDUW RI D PXOWL LQVWLWXWLRQ RU PXOWL FDPSXV RUJDQLJDWLRQ
LQVWLWXWLRQ" 'R 127 LQGLFDWH D UHOLJLRXV DILOLDWLRQ KHUH WKDW LQIRUPDWLRQ

,I \RX QHHG DVVLVWDQFH RU QHHG WR PDNH FKDQJHV FRQWDFW WKH +HOS 'HVN DW
\RXU VXEPLVLRQ LI WKLV TXHVWLRQ LV EODQN

○ 1R WKLV LQVWLWXWLRQ ,6 127 D SDUW RI D PXOWL LQVWLWXWLRQ RU PXOWL FDP
WKH LQVWLWXWLRQ

○ <HV WKLV LQVWLWXWLRQ ,6 D SDUW RI D PXOWL LQVWLWXWLRQ RU PXOWL FDPSXV
LQVWLWXWLRQ

6WDWH 8QLYHUVLW\ 6\VVHP RI)ORULGD

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DBQH\DP'SXV

6XPPDU\

,QVWLWXWLRQDO &KDUDFWHULVWLFV +HDGHU &RPSRQHQW 6XPPD

,3('6 FROOHFWV LPSRUWDQW LQIRUPDWLRQ UHJDUGLQJ \RXU LQVWLWXWLRQ S
VXUYH\ FRPSRQHQWV EHFRPH DYDLODEOH LQ WKH ,3('6 'DWD &HQWHU DQG DS
LQ YDULRXV 'HSDUWPHQW RI (GXFDWLRQ UHSRUWV \$GGLWLRQDOO\ VRPH RI
VSHFLILFDOO\ IRU \RXU LQVWLWXWLRQ WKURXJK WKH &ROOHJH 1DYLJDWRU ZH
LQVWLWXWLRQ\ 'DWD)HHGEDFN 5HSRUW ')5 7KH SXUSRVH RI WKLV VXPPDU
RSSRUWXQLW\ WR YLHZ VRPH RI WKH GDWD WKDW ZKHQ DFFHSWHG WKURXJK
SURFHVV ZLOO DSSHU RQ WKH &ROOHJH 1DYLJDWRU ZHEVLWH DQG RU \RXU
XSGDWHG DSSUR[LPDWHO\ WKUHH PRQWKV DIWHU WKH GDWD FROOHFWLRQ SH
5HSRUWV ZLOO EH DYDLODEOH WKURXJK WKH 'DWD &HQWHU DQG VHQW WR \RX
1RYHPEHU

3OHDVH UHYLHZ \RXU GDWD IRU DFFXUDF\ ,I \RX KDYH TXHVWLRQV DERXW WK
DIWHU UHYLHZLQJ WKH GDWD UHSRUWHG RQ WKH VXUYH\ VFUHHQV SOHDVH F

*(1(5\$/ ,1)250\$7,21

(GXFDWLRQDO 2IIHU	\$ZDUGHPLF
&RQWURO	3XEOLF 3ULPDU\ &RQWURO 6WDWH 6HFRQGDU\ &RQWURO 6FKRRO GLVWULFW
\$ZDUG /HYHOV 2IIHUN &RQWUROVGOHFUHH	

[Redacted line]

[Redacted block]

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0D&Q&D,PSX3/
(GLW 5HSRUW

,& +HDGHU