

7KH)DOO (QUROOPHQW FRPSRQHQW FROOHFWV VVXGHQW HQURQHQW FRXQWV E\ OHYHO RI VVXGHQW HQURC
UDFH HWKQLFLW\ ,Q DGGLWLRQ ILUVW WLPH VVXGHQW UHWHQWLRC UDWHV DQG WKH VVXGHQW WR IDFXOW\ UD
\HDU GDWD RQ UHVVLGHQFH RI ILUVW WLPH XQGHUJUDGXDW HV LV UHTXLUGH DQG LQ RSSRVLWH \HDUV HQURQHQW
UHTXLUGH WR EH UHSRUWHG

,QVWLWXWLRQV RSHUDWLQJ RQ D WUDGLWLRQDO DFDGHPLF \HDU FDOHQGDU VPHPVWHU WULPHVWHU TXDUWHU
HQURQHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU 2FWREHU ,QVWLWXWLRQV RSI
GLIIHUV E\ SURJUDP RU WKDW HQURQHQWV RQ D FRQWLQXRXV EDVLV UHIHUUHG WR DV SURJUDP UHSR
HQURQHQW DV VVXGHQWV HQURQHQW DQ\ WLPH GXULQJ WKH SHULRG \$XJXVW DQG 2FWREHU

'DWD 5HSRUWLQJ 5HPLQGHUV
,QVWLWXWLRQV PXVW FRQWLQXH WR UHSRUW XVLQJ WKH QHZ UDFH HWKQLFLW\ FDWHJRULHV

,QVWLWXWLRQV PXVW FRQWLQXH WR XVH WKH QHZ SRVWEDFFDODXUHDWH GHJUHH FDWHJRULHV HOLPLQDWLQJ
FDWHJRULHV DQG UHFODVVLI\LQJ WKRVH SURJUDPV \$OO SRVWEDFFDODXUHDWH VVXGHQWV DUH WR EH UHSRUWH
IRU HQURQHQW SXUSRVHV LQFOXGLQJ DQ\ GRFWRU V SURIHVVLRQDO SUDFWLFH VVXGHQWV IRUPHUO\ ILUVV

3DUW % (QUROOPHQW RI VVXGHQWV E\ DJH LV RSWLRQDO WKLV \HDU

3DUW & 5HVLGHQFH RI ILUVW WLPH GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDW HV LV UHTXLUGH WKLV \HDU

5HVRXUFHV
7R GRZQORDG WKH VXUYH\ PDWHULD OV IRU WKLV FRPSRQHQW 6XUYH\ 0DWHULD OV

7R DFFHVV \RXU SULRU \HDU GDWD VXEPLVVLRQ IRU WKLV FRPSRQHQW 5HSRUWHG 'DWD

,I \RX KDYH TXHVWLQV DERXW FRPSOHWLQJ WKLV VXUYH\ SOHDVH FRQWDFW WKH ,3('6 +HOS 'HVN DW

, Q V W L W X W L R Q 8 Q L Y H U V L W \ R I 6 R X W K) O R U L G D 6 W 3 H W H U V E X U J
8 V H U , ' 3

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW IRU)XOO 7LPH 8QGHUJUDGXDWH 6WXGHQWV
(QUROOPHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWR

)XOO WLPH 8QGHUJUDGXDWH 6WXGHQWV

5DFH (WKQLFLW\ 5HSRUWLQJ 5HPLQGHU

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR

5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\

(QUROOH
FUHGLW)LUVW WLPH 7UDQVIHU LQ &RQWLQXLQJRWDO GHJUHH QRQWLH UFDLWLF 1RQ GHJUHF 7RWDO
'HJUHH FHUWLILFDWH VHHLQJ VHHLQJ IXOO VNLPQJ XQGHUJUDGXDWH VWXGHQWV

0HQ

1RQUHVVLGHQW
DOLHQ
+LVSDQLF /DWLQR

\$PHULFDQ
, QGLDQ RU
\$ODVND 1DWLYH

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW E\ UDFH HWKQLFLW\ DQG JHQGHU
(QUROOPHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWREHU

&,3&2' (GXFDWLRQ

)XOO WLPH XQGHUJUDGXDWV VWXGHQWV

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR

5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\

VWXGHQV
QUROOPI
H HGLW

)LUVW WLPH
1RQ U.
DOLHQ
+LVSDQLF /DWLQR

'HJUHH FHUWLILFDWH VHHNLQJ
7UDQVIHU LQ &RQWLQXLQJRWDOORQ FHUWLILF

1RQ GHJUHF
7RWDO

IXOO WLIPQH
XQGHUJUDGXDWV
VWXGHQWV

1DWLYH
+DZDLLDQ RU
2WKHU 3DFLILF
,VODQGHU
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7ZR RU PRUH

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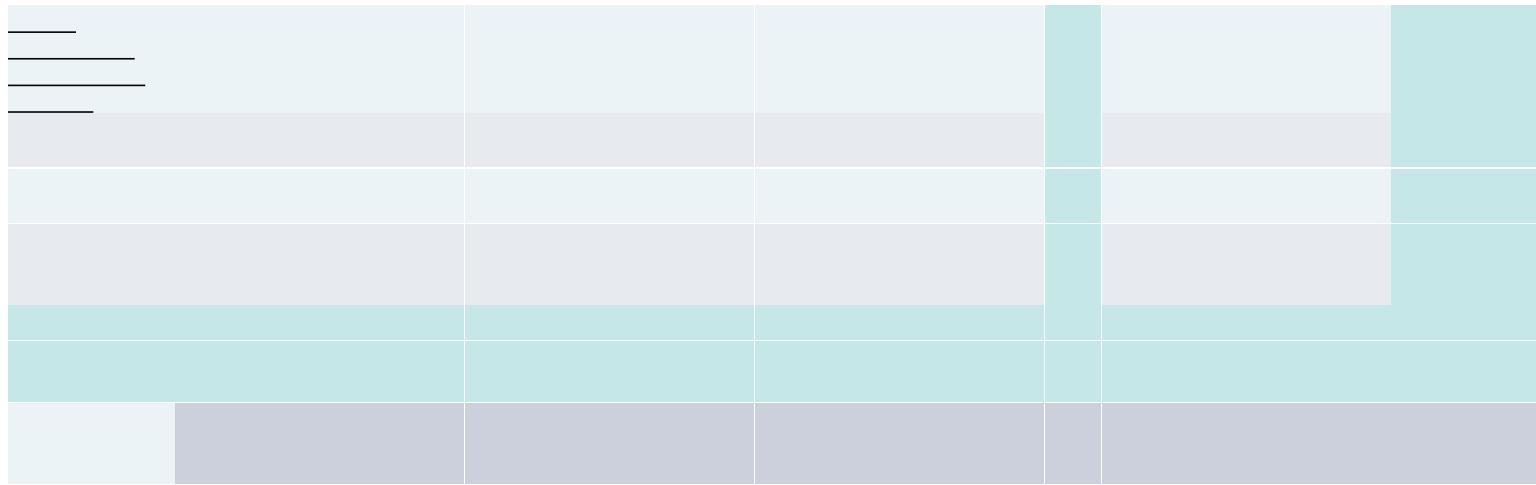
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PHQ ZRPHQ

*UDQG WRWDO

PHQ ZRPHQ

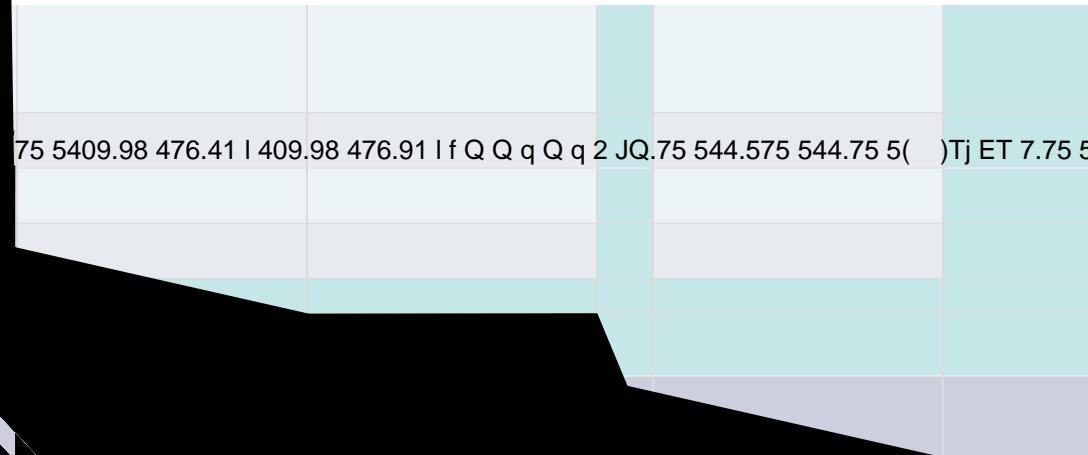


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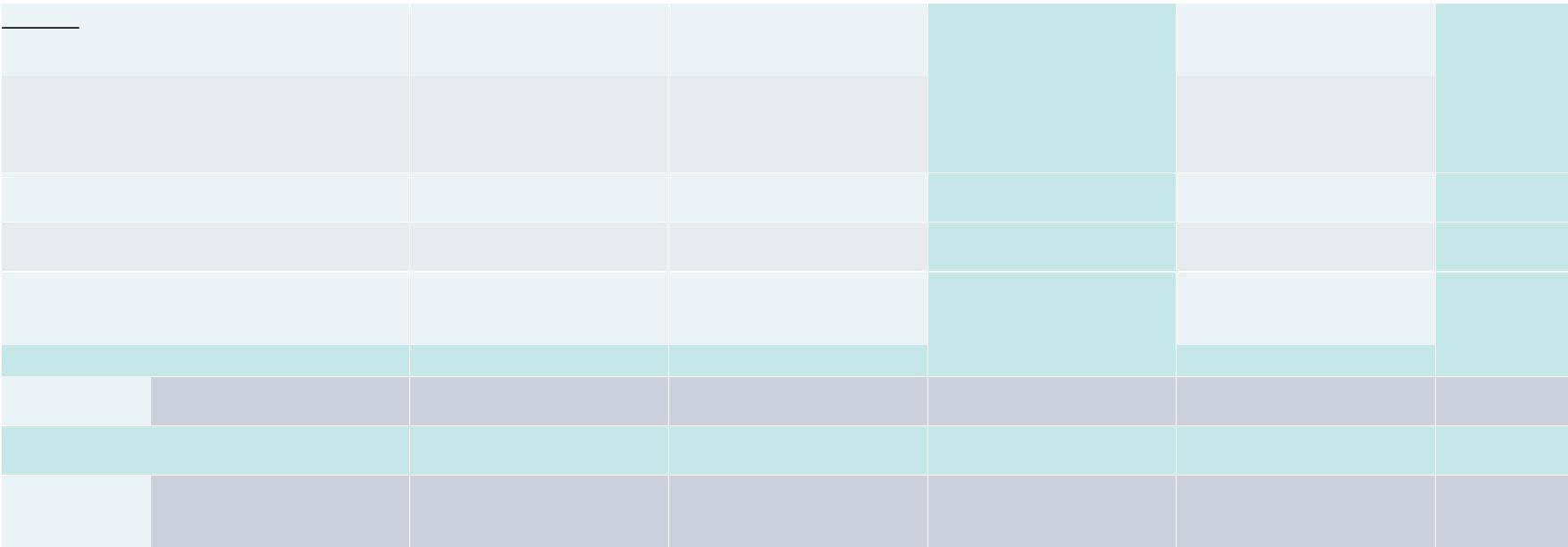
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+DZDLLD
2WKHU 31
,VODQGH
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72
UD
5DFH
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7RWDO 2
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, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW IRU 3DUW WLPH 8QGHUJUDGXDW^H 6WXGHQWV



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2 WKHU 3 DFLILF
~~A~~VODQGHU

1DWLYH				
+DZDLLDQ RU				
2WKHU 3DFLILF				
,VODQGHU				
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7ZR RU PRUH				
UDFHV				
5DFH DQQ				
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XQNQRZQ				
7RWDO ZRPHQ				
*UDQG WRWDO				
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*UDQG WRWDO				
PHQ ZRPHQ				

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW E\ UDFH HWKQLFLW\ DQG JHQGHU
(QUROOPHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWREHU
&, 3&2'(% XVLQHVW 0DQDJHPHQW 0DUNHWLQJ DQG 5HODWHG 6XSSRUW 6HUYLFHV
3DUW WLPH XQGHUJUDGXDWH VVXGHQWV

1DWLYH +DZDLLDQ RU 2WKHII 3DELIIF			
,VODQGHU			
:KLWH			
7ZR RU PRUH UDFHV			
5DFH DQG HWKQLFLW\ XQNQRZQ			
7RWDO ZRPHQ			
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*UDQG WRWDO PHQ ZRPHQ			
*UDQG WRWDO PHQ ZRPHQ SULRU			
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, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW E\ UDFH HWKQLFLW\ DQG JHQGHU

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW E\ UDFH HWKQLFLW\ DQG JHQGHU

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW E\ 'LVWDQFH (GXFDWLRQ 6WDWXV
(QUROOPHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWREHU

8QGHUJUDGXDWH 6WXGHQ ~~WUDGXDWH~~ 6WXGHQWV
'HJUHH & HUWLILFDWH 'HJUHH & HUWLILFDWH
6HHNLQJ

(QUROOHG H[FOXVLYHO\ LQ GLVWDQFH
HGXFDWLRQ FRXUVHV

(QUROOHG LQ VRPH EXW QRW DOO
GLVWDQFH HGXFDWLRQ FRXUVHV

1RW HQROOOG LQ DQ\ GLVWDQFH
HGXFDWLRQ FRXUVHV
7RWDO DOO GLVWDQFH HGXFDWLRQ
VWDWXVHV

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW E\ 'LVWDQFH (GXFDWLRQ 6WDWXV
8QGHUJUDGXDWH 6WXGHQWUDGXDWH 6WXGHQWV

2I WKRVH VWXGHQWV *exclusively* & HUWLILFDWQH 'GHJUNIHQ&HUWLILFDWQH

HQUROOHG LQ GLVWDQFH HGXFDWLRQ 6HHNLQJ

FRXUVHV UHSRUW WKH QXPEHU WKDW

DUH

/RFDWHG LQ)/

/RFDWHG LQ WKH) 6 EXW QRW

LQ

/RFDWHG LQ WKH 8 6 EXW

VWDWH MXULVGLFWLRQ XQNQRZQ

/RFDWHG RXWVLGH WKH 8 6

/RFDWLRQ XQNQRZQ XQUHSRUWHG

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW 6XPPDU\
)DOO (QUROOPHQW 6XPPDU\
6WXGHQWV HQUROOHG IRU FUHGLW 7RWDO IXOO7RMPHO SDUWUDLOPH WRWDO
 VWXGHQWV VWXGHQWVDOO VWXGHQWV

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+LVSDQLF /DWLQR

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW \$)DOO (QUROOPHQW 6XPPDU\ E\ UDFH HWKQLFLW\
)DOO HQUROOPHQW WRWDOV
&, 3 & 2' (%LRORJLFDO DQG %LRPHGLFDO 6FLHQFHV
6WXGHQWV HQUROOHG IRU FUHGLW 7RWDO IXOO7MRMPHO SDUW* UDQGH W RWDO
VWXGHQWV VWXGHQWVD O O VWXGHQWV
0HQ

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW %)DOO (QUROOPHQW E\ \$JH DQG *HQGHU IRU)XOO WLPH 8QGHUJUDGXDW^H 6WXGHQWV
127(7KHVH GDWD DUH RSWLRQDO WKLV \HDU

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW %)DOO (QUROOPHQW E\ \$JH DQG *HQGHU IRU 3DUW WLPH 8QGHUJUDGXDW^H 6WXGHQVV
127(7KHVH GDWD DUH RSWLRQDO WKLV \HDU

(QUROOPHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWREHU

\$JH

3DUW WLPH 8QGHUJUDGXDW^H 6WXGHQVV

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8QGHU

DQG RYHU

\$JH XQNQRZQ XQUHSRUWHG

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW %)DOO (QUROOPHQW E\ \$JH DQG *HQGHU IRU 3DUW WLPH *UDGXDWH 6WXGHQWV 127(7KHVH GDWD DUH RSWLRQDO WKLV \HDU		
(QUROOPHQW DV RI <u>WKH LQVWLWXLRQ</u> V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWREHU		
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\$JH XQNQRZQ XQUHSRUWHG		
7RWDO SDUW WLPH JUDGXDWH VWXGHQWV IURP SDUW \$		

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW & 6FUHHQLQJ 4XHVWLRQ

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW & 5HVLGHQFH RI)LUVW WLPH 8QGHUJUDGXDWHV
(QUROOPHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWREHU
6WDWH RI UHVVLGHQFH, ZKIRGVH ?
ZDV ILUVW DGPLWWHG GHJUHH FHUWLILFDWH VHHLQJ
XQGHUJUDGXDWHV

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW & 5HVLGHQFH RI)LUVW WLPH 8QGHUJUDGXDWHV
(QUROOPHQW DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO UHSRUWLQJ GDWH RU DV RI 2FWREHU
6WDWH RI UHVLGHQFH, ZK\&R GHVXGIRQMO ILUVW W2IP\WXGHQWV LQ FROXPQ WKRVH
ZDV ILUVW DGPLWWHG GHJUHH FHUWLILF\WR MQRDQJHG ZLWKLQ PRQWKV
XQGHUJUDGXDWH\RI KLJK VFKRRO JUDGXDWLQ
RU UHFHLYLQJ WKHLU *('

0DU\ODQG

0DVVFDFKXVHWWV

0LFKLJDQ

0LQQHVRWD

0LVVLVVLSSL

0LVVRXUL

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1HEUDVND

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1HZ +DPSVKLUH

, Q V W L W X W L R Q 8 Q L Y H U V L W \ R I 6 R X W K) O R U L G D 6 W 3 H W H U V E X U J

7RWDO ILUVW WLPH GHJUHH FHUWLILFDWH VHHNLQJ
XQGHUJUDGXDWV IURP 3DUW \$
<RX PD\ XVH WKH VSDFH EHORZ WR SURYLGH FRQWH[W IRU WKH GDWD \RX YH UHSRUWHG DERYH



, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW ' 7RWDO 8QGHUJUDGXDWH (QWHULQJ &ODVV

7RWDO 8QGHUJUDGXDWH (QWHULQJ &ODVV)DOO

' 7RWDO IXOO WLPH ILUVW WLPH GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDWHV IURP 3DUW \$ *5
FRKRUW
' 7RWDO ILUVW WLPH GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDWHV IXOO WLPH SDUW WLPH IURP 3DUW
\$
' 7RWDO WUDQVIHU LQ GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDWHV IXOO WLPH SDUW WLPH IURP
3DUW \$
' 7RWDO QRQ GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDWHV IXOO WLPH SDUW WLPH IURP 3DUW \$
' 2I WKH WRWDO QRQ GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDWHV GLVSOD\HG RQ OLQH ' WKH
QXPEHU WKDW DUH QHZ WR WKH LQVWLWXWLRQ LQ)
' 7RWDO HQWHULQJ VWXGHQWV DW WKH XQGHUJUDGXDWH OHYHO
1RWH 7KLV LV FDOFXODWHG DV ILUVW WLPH VWXGHQWV OLQH ' VWXGHQWV WUDQVIHUULQJ WR WKH
LQVWLWXWLRQ OLQH ' QRQ GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDWHV HQWHULQJ LQ)DOO
OLQH '
' 3HUFHQWDJH RI XQGHUJUDGXDWH HQWHULQJ FODVV UHSUHVHQWHG E\ \RXU *5 FRKRUW OLQH ' OLQH

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW ()LUVW WLPH %DFKHORU V &RKRUW 5HWHQWLRQ 5DWHV)XOO WLPH
5HWHQWLRQ 5DWHV
)XOO WLPH)LUVW WLPH %DFKHORU V &RKRUW IURP)DOO

\$FDGHPLF UHSRUWHUV GHWHUPLQH WKH FRKRUW DQG UHWHQWLRQ DV RI WKH LQVWLWXWLRQ V RIILFLDO IDOO U
2FWREHU 3URJUDP UHSRUWHUV GHWHUPLQH WKH FRKRUW ZLWK HQROOPHQW DQ\ WLPH EHWZHHQ \$XJVW D
DQG UHWHQWLRQ EDVHG RQ \$XJVW

7KH UHWHQWLRQ UDWHLV FDOFXODWHG E\ WKH V\VWHP DIWHU FOLFNQJ 6DYH RQ WKH VFUHHQ ([FOXVLRQV D
IURP WKH RULJLQDO FRKRUW DQG WKH UHVXOWLQJ DGMXVWHG FRKRUW LV XVHG IRU FDOFXODWLQJ WKH UHWHQW

5HWHQWLRQ 'DWD 5HSRUWLQJ 5HPLQGHUV
=bW XY\cb'm IXOO WLPH ILUVW WLPH EDFKHORU V\ghi XYbhg]b\h\]g\W\cfh"
8YhYfa]bY\h\Y\Z ``!hja Y\W\cfhi g]b[: U``&\$%%ghjh g'fM"["]ZU\ghi XYbhk Ug\Z ``!hja Y\]b[: U``&\$%%\fYdcfh\h\Ya]b\h\Y\Z ``!hja Y\W\cfhfY[UfX\Ygg\cZ\h\Y]f[: U``&\$%%\ghjh gE"
=Z\h\YfY\UfY'b

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW) 6WXGHQW WR)DFXOW\ 5DWLR

3OHDVH SURYLGH \RXU LQVWLWXWLRQ V VWXGHQW WR IDFXOW\ UDWLR L H VWXGHQW WR LQVWUXFWLRQDO VW

, QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3UHSDUHG E\

7KLV VXUYH\ FRPSRQHQW ZDV SUHSDUHG E\
.H\KROGH\)\$ &RQWDFW &RQWDFW)LQDQFH &RQWDFW 2WKHU

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+RZ ORQJ GLG LW WDNH WR SUHSDUH WKLV VXUYH\
FRPSRQHQW"

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=D98G W`YWh]a dcfhUbh]bZcfa Uh]cb fY[UfX]b[mci f]bgh]h h]cb" 5`` XUHJ fYdcfhYX]b =D98G gi fj Ym`Wa dcba Y`Uj U]UVY]b h\ Y =D98G 8Uh]7YbhYf`UbX UddYuf`Ug`U[fY[UhYX XUHJ]b j Ufci g`8YdUfha Ybh`CZ9Xi Wh]cb fYdcfhg" 5XX]h]cbU`m`gca Y`cZh\Y fYdcfhYX XUHJ UddYufg gdYVYZW`m`Zcf mci f]bgh]h h]cb h\fc [\h\Y`7c`Y[Y`Buj][Uhcf`k YVg]hY`UbX`g]bW XYX]b mci f]bgh]h h]cb 8Uh] YYXVUW F`YdcfhfB: F`"`H`Y`di fdcgY`cZh\]g`gi a a Ufm`g`h`dfcj]XY`mci `Ub cddcfh b]hmihc`j]Yk`gca Y`cZh\Y XUHJ h\Uh\k`Yb`UWW`dYX`h\fc [\h\Y =D98G`ei U`hm`W`bhfc` dfcWggz`k]`` UddYuf`cb h\Y`7c`Y[Y`Buj][Uhcf`k YVg]hY`UbX#cf`mci f`8: F```7c`Y[Y`Buj][Uhcf`g i dXUH`YX Uddfc] ja UhY`mih`fYY`a cbh`g`UZhYf`h\Y`XUHJ`W`YYWh]cb dYf]cX`WcgYg`UbX`8Uh] YYXVUW F`Ydcfhg`k]```VY`Uj`U]UVY`h\fc [\h\Y`9i DH`UbX`gYbh`h`mci f]bgh]h h]cb 79C]b Bcj Ya VYf`\$% "

D`YUgY`fYj]Yk`mci f`XUHJ`Zcf`UWW`fUWH` =Z`mci`Uj`Y`ei`Ygh]cbg`UVci`h\h\Y`XUHJ`X]gd`Um`YX`VY`ck UZhYf`fYj]Yk`jb[h\Y`XUHJ`fYdcfhYX`cb`h\Y`gi`fj`YmgWYYbgz`d`YUgY`W`bHJUW`h\Y =D98G`<`Y`d`8`Yg_`Uh %, + +! && ! &) *, `cf`dYXg`Y`d4`fh`"cf]"

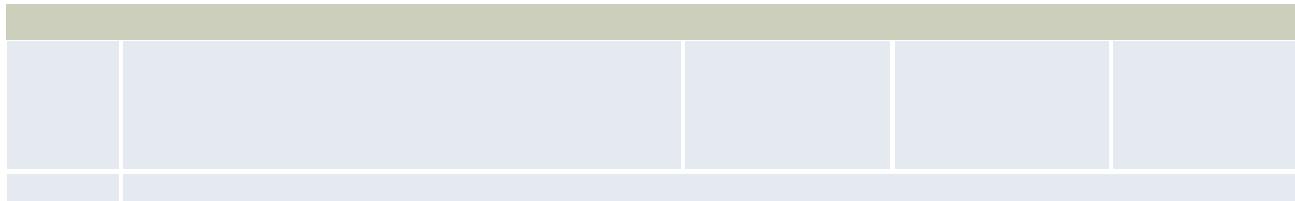
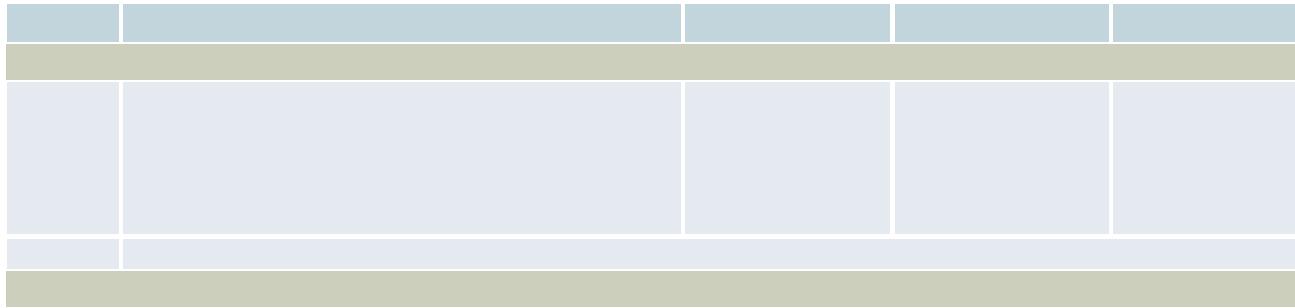
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BUhj Y`< Uk U]]Ub`cf`DUWZ]W=g`UbXYf	\$1
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