



2020, consistent with the Annual Reporting Requirements for DSOs. There were no instances of non-compliance with the 22 requirements from five categories of compliance cited in the Annual DSO Compliance Certification Statements, for the 8 DSOs reporting.

Trustee Seixas asked about compliance training for staff and faculty (code of ethics, gift laws, safety, EHS, etc.) – is training online, how is it tracked. Mr. Trivunovich explained that compliance covers a wide variety of items and we have many kinds of compliance training (we have very robust training in our on-boarding process, as well as some recurring compliance training and are hoping to expand this training). Mr. Trivunovich suggested talking about these different types of training at a future meeting.

V. Adjournment

Having no further business, Chair Callahan adjourned the Audit &